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PATENT
600-1-081CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ralph M. Steinman et al.
SERIAL NO.: 09/586,704 EXAMINER: Ronald B. Schwadron
FILED : June 5, 2000 ART UNIT: 1644
FOR: IDENTIFICATION OF DEC, A RECEPTOR WITH C-TYPE LECTIN
DOMAINS, NUCLEIC ACIDS ENCODING DEC, AND USES
THEREOF

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Sequence, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on April 21, 2004.

Anne M. Jones
(Name of Person Mailing.)

Anne M. Jones 4/21/04
(Signature and Date)

STATEMENT IN SUPPORT OF THE FILING/SUBMISSION OF A NUCLEOTIDE/AMINO
ACID SEQUENCE LISTING IN
ACCORDANCE WITH 37 CFR §1.821 - 1.825

Mail Stop Sequence
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

VERONICA MALLON, PH.D., Agent of record, hereby states as follows:

1. I hereby state that the content of the paper and computer readable copies of the enclosed Substitute Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

2. I hereby state that the submission, filed in accordance with 37 CFR §1.821(g) herein does not include new matter.

Veronica Mallon
VERONICA MALLON, PH.D.
Agent for Applicant
Reg. No. 52,491

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, New Jersey 07601
Dated: April 21, 2004

**UNITED STATES DEPARTMENT OF COMMERCE****U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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EXAMINER

ART UNIT	PAPER
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200404

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.


Commissioner for Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). A computer readable form (CRF) of the sequence listing was submitted. However, the CRF could not be processed by the Scientific and Technical Information Center (STIC) for the reason(s) set forth on the attached CRF Diskette Problem Report.

No sequence listing has been submitted for the various amino acid sequences recited in Figure 7B such as XPBB wherein 4 or more amino acids are recited and the symbols used represent amino acids as per page 11 of the specification. The listing has been submitted in the preJuly 1998 format wherein sequence listings for such amino acid sequences are required. Also, the submitted paper copy of the sequence listing is missing SEQ. ID. Numbers 6-8.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached CRF Diskette Problem Report with the reply.

Ron Schwadron, Ph.D.
Primary Examiner
Art Unit 1644


RONALD B. SCHWADRON
PRIMARY EXAMINER
GROUP 1800 1600

LM



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/586,704	06/05/2000	Ralph M. Steinman	600-1-081 CON	7559

7590
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04/09/2004



EXAMINER

SCHWADRON, RONALD B

ART UNIT PAPER NUMBER

1644

DATE MAILED: 04/09/2004

DUE: 5/9/04
DD: 10/9/04

Please find below and/or attached an Office communication concerning this application or proceeding.

APR 12 2004



Application No.: 09/586704

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: see enclosed communication

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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